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1131 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001_1854A

First Named Inventor : Yoshihisa YAMASHITA et al.

Title: LEAD FRAME AND PRODUCTION PROCESS THEREOF AND
PRODUCTION PROCESS OF THERMALLY CONDUCTIVE SUBSTRATE

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Small Entity Status is hereby asserted.
3. ☒ Specification [Total Pages: 53]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Sequence Listing, a table, or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 9]
5. ☒ Oath or Declaration [Total Pages : 3]
- a.1. ☐ Newly executed (original or copy)
- a.2. ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other **COVER LETTER ACCOMPANYING APPLICATION
FILED WITHOUT EXECUTED DECLARATION UNDER 37 CFR 1.53(b)
AND IN A LANGUAGE OTHER THAN ENGLISH UNDER 37 CFR 1.52(d)**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

000513

PATENT TRADEMARK OFFICE

By:

Michael S. Huppert
Registration No. 40,268WENDEROTH, LIND & PONACK, L.L.P.
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December 27, 2001

JC927 U.S. PTO
10/026522

12/27/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Yoshihisa YAMASHITA et al. :

Serial No. NEW :

Attn: APPLICATION BRANCH

Filed December 27, 2001 :

Attorney Docket No. 2001_1854A

LEAD FRAME AND PRODUCTION PROCESS
THEREOF AND PRODUCTION PROCESS OF
THERMALLY CONDUCTIVE SUBSTRATE

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$740.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$740.00

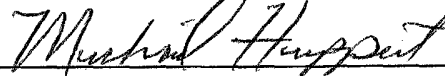
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Yoshihisa YAMASHITA et al.

By



Michael S. Huppert
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December 27, 2001

[Check No. 48129]
2001_1854A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Yoshihisa YAMASHITA et al. : Attn: APPLICATION BRANCH
Serial No. NEW : Docket No. 2001_1854A
Filed December 27, 2001 :
LEAD FRAME AND PRODUCTION PROCESS
THEREOF AND PRODUCTION PROCESS OF
THERMALLY CONDUCTIVE SUBSTRATE

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

**COVER LETTER ACCOMPANYING APPLICATION FILED WITHOUT EXECUTED
DECLARATION UNDER 37 CFR 1.53(b) AND IN A LANGUAGE
OTHER THAN ENGLISH UNDER 37 CFR 1.52(d)**

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed is a new patent application entitled "LEAD FRAME AND PRODUCTION PROCESS THEREOF AND PRODUCTION PROCESS OF THERMALLY CONDUCTIVE SUBSTRATE". This application is submitted in the Japanese language under the provisions of 37 CFR 1.52(d).

Furthermore, the present application is submitted under the provisions of 37 CFR 1.53(b), and the application as filed does not include an executed declaration. However, accompanying the application is an unexecuted declaration listing the inventor information.


The application as filed further does not include an executed power of attorney, and accordingly, it is requested that communication initially be directed to the following firm, until an executed power of attorney and declaration are filed:

WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006-1021
Telephone: (202) 721-8200
Facsimile: (202) 721-8250

The required filing fee of \$740.00 is enclosed.

Respectfully submitted,

Yoshihisa YAMASHITA et al.

By 
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December 27, 2001

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

12/28/2001 JADD01 00000008 10026522

01 FC:101 740.00 OP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595